October 2024

S&P 500 Stocks Summary									
					Marketable Limit		Non-Marketable Limit		
Total Non-Directed	Non-Directed Orders		Market Orders as % of	Total Marketable Limit	Orders as % of Non-		Orders as % of Non-		Other Orders as % of
Orders	as % of All Orders	Total Market Orders	Non-Directed Orders	Orders	Directed Orders	Total Non-Marketable Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
3,394	100.00	3,168	93.34	36	1.06	172	5.07	18	0.53

														Net Payment Paid/		Net Payment Paid/		
enue - Non-directed		Non-Directed			Marketable Limit		Non-Marketable Limit	t Non-Marketable Limit			Net Payment Paid/ Received for Market	Received for Market	Net Payment Paid/ Received for Marketable Limit	Received for Marketable Limit Orders(cents per	Net Payment Paid/ Received for Non- Marketable Limit	Received for Non- Marketable Limit Orders(cents per	Net Payment Paid/ Received for Other	Net Payment Paid/ Received for Other Orders(cents per
	Non-Directed Orders		Market Orders	Market Orders(%)			Orders		Other Orders	Other Orders(%)	Orders(USD)		Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)
itadel Securities LC(CDRG)	1,016	24.7	4 95	7 25.46	5 12	25.00	37	7 13.12	10	55.56	5 0	0		0 (0	(0	
lational Financial ervices LLC(XSTM)	718	17.4	8 70	4 18.73	3 14	29.17	, (0.00	0	0.00	0	0		0 (0 0	(0 (
'IRTU Americas, LC(NITE)	717	17.4	6 68	6 18.25	5	7 14.58	17	7 6.03	7	38.89	9	0		0 (0 0	(0	
61 Execution ervices, LLC(ETMM)	695	16.9	2 66			16.67		8.16	0	0.00		0		0	0 0		0	
ane Street Capital, LC(JNST)	626	15.2		1 16.25		4.17	, 13	4.61	0	0.00		0		0 (0 0	(0	
IASDAQ Execution ervices, LLC(NSDQ)	65	1.5	8	0.00		2.08	3 64	4 22.70	0	0.00		0		0	0 0	(0 (
lew York Stock xchange(NYSE)	59	1.4	4	0.00		2.08	5.5	8 20.57	0	0.00		0		0	0 0	(0 (
boe EDGX US quities																		
xchange(EDGX)	54	1.3	1	0.00		0.00	54	19.15	0	0.00	0	0		0 (0 0	(0 (
wo Sigma Securities, LC(SOHO)	52	1.2	7 4	8 1.28	3	4.17	,	0.35	1	5.56	5 C	0		0	o o		0	o l

0.00

Net Payment Paid/

Net Payment Paid,

Received for Non-

Marketable Limit

Net Payment Paid/

eceived for Non-

Marketable Limit

Orders(cents per

hundred shares)

Orders(cents per

Net Payment Paid/

Received for Other

Net Payment Paid/

Received for Other

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Other

undred shares)

Net Payment Paid/

Received for Other

Orders(cents per

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Received for Other

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hundred shares)

Orders(cents per

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Received for Other

Orders(USD)

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Received for Other

Orders(USD)

Orders(cents per

Net Payment Paid/

Received for Other

Net Payment Paid/

Received for Non-

Marketable Limit

Net Payment Paid/

Received for Market

Orders(cents per

Net Payment Paid/

Received for Market

Received for Market

Orders(USD)

0.00

Other Orders(%)

Orders(cents per

undred shares)

Marketable Limit

Orders(USD)

Orders(cents per

hundred shares)

Net Payment Paid/

Marketable Limit

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Non-

Marketable Limit

Orders(USD)

Received for

Net Payment Paid/

Orders(cents per

nundred shares)

Net Payment Paid/

Received for Market

Orders(USD)

0.00

Other Orders(%)

Received for Market

Net Payment Paid/

Received for

Orders(USD)

Marketable Limit

Net Payment Paid/

Net Payment Paid/

Received for Other

Orders(USD)

Received for Non-

Marketable Limit

Orders(cents per

hundred shares)

Marketable Limit

Orders(USD)

Net Payment Paid/

Marketable Limit

Received for

Received for

Marketable Limit

Orders(cents per

(INTL) **Material Aspects:**

Citadel Securities LLC (CDRG):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa National Financial Services(XSTM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa NASDAQ Execution Services, LLC(NSDQ):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Two Sigma Securities, LLC(SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction fees or any transaction fees or any transaction rebates from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for additional details. StoneX Financial INC.(INTL): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

October 2024 Non-S&P 500 Stocks

Orders as % of Non-

Summary

Non-Directed Orders

Market Orders as % of

Market Orders as % of

Non-Directed Orders

Total Marketable Limit

Гotal Marketable Lim

Total Non-Directed

otal Market Orders otal Other Orders Non-Directed Orders is % of All Orders Directed Orders tal Non-Marketable Limit Orders Directed Orders Non-Directed Orders

Orders as % of Non

	Non-Directed Orders	Non-Directed Orders(%)	Market Orders		Marketable Limit Orders		Non-Marketable Limit Orders		Other Orders		Net Payment Paid/	Received for Market Orders(cents per	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
National Financial Services LLC(XSTM)	2,831	. 27.49	2,589	33.34	. 242	45.75	0	0.00	(0.00	C)	0		0 (0
Citadel Securities LLC(CDRG)	2,012	19.54	1,685	21.70	83	15.69	228	11.58	16	5 45.71	C) (0		0 ()		0 (
VIRTU Americas, LLC(NITE)	1,335	12.96	1,130	14.55	53	10.02	134	6.81	18	51.43	C) (0		0 (0
G1 Execution Services, LLC(ETMM)	1,270	12.33	1,087	14.00	56	10.59	127	6.45	(0.00	C) (0		0 (0
Jane Street Capital, LLC(JNST)	1,086	10.55	942	12.13	42	7.94	102	5.18	(0.00	C) (0		0 (0
Choe EDGX US Equities	552	5.27		0.00		0.00	552	20.00		0.00								
Exchange(EDGX) NASDAQ Execution Services, LLC(NSDQ)	297	2.88	0	0.00		0.00	297	28.09 15.08		0.00			0		0 0			0
New York Stock Exchange(NYSE)	170	1.65	0	0.00		0.00	170	8.63	(0.00	C		0		0 (0 (
Members Exchange(MEMX)	158	1.53	0	0.00	0	0.00	158	8.02	(0.00	C) (0		0 ()		0 (
NYSE American(AMEX)	146	1.42	0	0.00	0	0.00	146	7.41	(0.00	C) (0		0 (0

Other Orders as % of

Material Aspects:

VIRTU Americas, LLC (NITE):

Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction fees or any transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details. National Financial Services(XSTM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa NASDAQ Execution Services, LLC(NSDQ):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

NYSE American(AMEX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. October 2024

Orders as % of Non-

Directed Orders

Option Contracts

Total Non-Directed

Non-Directed Orders

Total Market Orders

as % of All Orders

Summary

otal Non-Marketable Limit Orders

otal Non-Marketable Limit Orders

Orders as % of Non-

Directed Orders

	Non-Directed Orders	Non-Directed Orders(%)	Market Orders		Marketable Limit Orders		Non-Marketable Limit Orders				Net Payment Paid/ Received for Market	Received for Market Orders(cents per	Received for Marketable Limit	Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	893	46.39	551	. 52.93	71	51.82	270	36.29	1	33.33	3	0	0		0 0	C	5 (0
Dash Financial Technologies LLC(DASH)	339	17.61	137	7 13.16	15	10.95	185	24.87	2	66.67	, c	0	0		0 0	C	0 (0
Susquehanna Capital Group(SUSQ)	339	17.61	288	3 27.67	36	26.28	15	2.02	0	0.00	0	0	0		0 0	C	0 (0
Wolverine Execution Services LLC(WEXX)	253	13.14	. 5	0.48	(4.38	242	32.53	0	0.00	0	0	0		0 0	C) () 0
Morgan Stanley & Company LLC(MSCO)	101	5.25	60	5.76	g	6.57	32	4.30	0	0.00	0	0	0		0 0	C) (0
Material Aspects:																		

Total Other Orders

Other Orders as % of

Non-Directed Orders

Wolverine Execution Services LLC (WEXX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. Dash Financial Technologies LLC (DASH) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Morgan Stanley & Company LLC (MSCO): November 2024 S&P 500 Stocks ⁄larketable Limit Ion-Marketable Lim Market Orders as % of Total Marketable Lim Other Orders as % of Non-Directed Orders Orders as % of Non-Orders as % of Non-

Total Other Orders

Non-Directed Orders

Directed Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Total Non-Directed

National Financial Services(XSTM):

Venues

as % of All Orders

Total Market Orders

Non-Directed Orders

Market Orders as % of Total Marketable Limit

2,696

Orders

Market Orders(%)

32.83

Non-Directed Orders

Market Orders

Market Orders as % of

Market Orders as % of

Market Orders

Non-Directed Orders Orders

Total Marketable Limi

Market Orders(%)

Non-Directed Orders

Total Marketable Lim

51.50

Orders as % of Non-

Directed Orders

Marketable Limit

Total Non-Marketable Limit Orders

Marketable Limit Orders(%)

Citadel Securities LLC (CDRG):

Susquehanna Capital Group (SUSQ):

Non-Directed Marketable Limit Non-Marketable Limit Non-Marketable Limit Venue - Non-directed

Directed Orders

	Non-Directed Orders	Orders(%)	Market Orders	Market Orders(%)	Orders	Marketable Limit Orders(%)	Orders	Orders(%)	Other Orders	Other Orders(%)	Orders(USD)	hundred shares)						
adel Securities C(CDRG)	1,588	31.30	1,509	32.13	10	12.50	62	2 22.96	7	7 41.18	(0	0 (0	0
Execution rvices, LLC(ETMM)	862	17.03	841	17.91	4	5.00	1	7 6.30	(0.00	(0	0 (ס	0	0
RTU Americas, C(NITE)	820	16.20	740	15.76	42	52.50	28	10.37	10	58.82	()	0	0 (0	0	0
tional Financial vices LLC(XSTM)	792	15.64	4 778	16.57	14	17.50)	0.00	(0.00	(0	0 (0	0	0
ne Street Capital, C(JNST)	619	12.23	3 587	12.50	9	11.25	23	8.52	(0.00	()	0	0 (0	0	0
T FINANCIAL (HRTF)	92	1.83	2 88	1.87	1	1.25		3 1.11	(0.00	(0	0 (0	0	0
vo Sigma Securities, C(SOHO)	75	1.48	3 72	1.53	0	0.00)	3 1.11	(0.00	(0	0 (0	0	0
oe EDGX US uities change(EDGX)	63	1.24	4 0	0.00	0	0.00	63	3 23.33	(0.00	()	0	0	0	0	0
oneX Financial INC.	43	0.88	5 43	0.92		0.00)	0.00		0.00	(0	0 0		0	0
ASDAQ Execution ervices, LLC(NSDQ)	41	0.83	1 0	0.00	0	0.00	4:	1 15.19	(0.00	(0	0 (0	0	0

VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa NASDAQ Execution Services, LLC(NSDQ): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. StoneX Financial INC.(INTL): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

HRT FINANCIAL LP(HRTF): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for additional details. Two Sigma Securities, LLC(SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. November 2024 Non-S&P 500 Stocks

Non-Marketable Limit Non-Marketable Limit

Orders(%)

Total Other Orders

Venues Net Payment Paid Net Payment Paid/ Received for Net Payment Paid/ Net Payment Paid/ Net Payment Paid/ Received for Market Received for Marketable Limit Received for Non-

39.81

Orders as % of Non-

Directed Orders

Venue - Non-directed Non-Directed Orders(%) Non-Directed Orders Order Flow National Financial 2,905 27.33 Services LLC(XSTM)

Non-Directed Orders

as % of All Orders

Total Market Orders

Total Non-Directed

Citadel Securities LLC(CDRG)	2,387	22.46	2,069	25.19	87	16.57	210	11.31	21	61.76	0		C	0	0 0	C	0
VIRTU Americas, LLC(NITE)	1,283	12.07	1,088	13.25	65	12.38	118	6.35	12	35.29	0		(0	0 0	C	0
G1 Execution Services, LLC(ETMM)	1,248	11.74	1,103	13.43	45	8.57	100	5.39	0	0.00	0		(0	0 0	C	0
Jane Street Capital, LLC(JNST)	971	9.14	868	10.57	44	8.38	59	3.18	0	0.00	0	((0	0 0	C	, 0
NASDAQ Execution Services, LLC(NSDQ)	545	5.13	0	0.00	1	0.19	544	29.29	0	0.00	0	(C	0	0 0	C	0
Cboe EDGX US Equities Exchange(EDGX)	508	4.78	0	0.00	0	0.00	508	27.36	0	0.00	0		C	0	0 0	C	0
Members Exchange(MEMX)	174	1.64	0	0.00	0	0.00	174	9.37	0	0.00	0	(C	0	0 0	C	0
HRT FINANCIAL LP(HRTF)	163	1.53	144	1.75	13	2.48	6	0.32	0	0.00	0	(C	0	0 0	C	0
Two Sigma Securities, LLC(SOHO)	132	1.24	113	1.38	7	1.33	12	0.65	0	0.00	0	(C	0	0 0	C	0
National Financial Services(XS	es all trades to	-				order flow, payment from any profit-sharing relationship order flow, payment from any profit-sharing relationship				_							

Other Orders as % of

Non-Directed Orders

Other Orders

G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa NASDAQ Execution Services, LLC(NSDQ): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa HRT FINANCIAL LP(HRTF): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. Two Sigma Securities, LLC(SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. November 2024

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Venues let Payment Paid, let Payment Paid Net Payment Paid/ Net Payment Paid/ Received for Net Payment Paid/ Received for Non-Net Payment Paid/ Received for Market Received for Marketable Limit Received for Non-Marketable Limit Non-Directed Marketable Limit Non-Marketable Limit Non-Marketable Limit Received for Market Orders(cents per Marketable Limit Orders(cents per Marketable Limit Orders(cents per Venue - Non-directed Orders(%) Other Orders on-Directed Orders Market Orders Market Orders(%) Marketable Limit Orders(%) Orders(%) Other Orders(%) Orders(USD) undred shares) Orders(USD) hundred shares) Orders(USD) undred shares)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Non-Marketable Limit Non-Marketable Limit

Orders(%)

Total Other Orders

Non-Marketable Lim

Orders as % of Non-

Directed Orders

Total Other Orders

Orders as % of Non-

Directed Orders

48.76

Order Flow Citadel Securities LLC(CDRG)

Dash Financial Technologies LLC (DASH):

Morgan Stanley & Company LLC (MSCO):

Cboe EDGX US Equities Exchange(EDGX)

Non-Directed Orders

Non-Directed Orders

Total Market Orders

Non-Directed

Orders(%)

as % of All Orders

December 2024

S&P 500 Stocks

Total Non-Directed

Venue - Non-directed

Summary

Orders

Venues

Order Flow

Option Contracts

Total Non-Directed

Non-Directed Orders

Total Market Orders

45.47

as % of All Orders

Summary

Dash Financial Technologies 18.00 13.10 24.97 LC(DASH) Susquehanna Capital

Orders as % of Non-

otal Non-Marketable Limit Orders

otal Non-Marketable Limit Orders

Marketable Limit Orders(%)

Directed Orders

Group(SUSQ)	340	17.49	278	27.80	37	30.58	25 3.05	0	0.00	0	0	0	0	0	
Wolverine Execution Services LLC(WEXX)	275	14.15	14	1.40	4	3.31	257 31.30	0	0.00	0	0	0	0 0	0	
organ Stanley & ompany LLC(MSCO)	94	4.84	62	6.20	8	6.61	24 2.92	. 0	0.00	0	0	0	0 0	0	
oe Options change(CBOE)	1	0.05	0	0.00	1	0.83	0 0.00	0	0.00	0	0	0	0 0	0	
Material Aspects: Citadel Securities LLC (CDF Vanderbilt Securities LLC re	,	ir clearing firm NFS	Vanderbilt Securities	s LLC does not receiv	ive any payment for order flow	payment from any profit-sharing relationship, transa	ction fees or any transaction	n rebates from our clea	ring firm National Financial Services	However National Finan	cial Services may rece	ive such payments. Please refer to their 606	report for additional de	tails	
squehanna Capital Group (ii vivaimg iiiii, i ii g.		s, EDC does not receive	the any payment for order from	, payment from any profit sharing relationship, transc	iction rees of any transaction	ii reduces from our elec	ang min, radional i manetal services.	nowever, rvacionar i man	olar Sorvices may rece	ive such payments. I lease feler to their occ	report for udditional de	wiis.	
		ir clearing firm NFS	Vanderhilt Securities	LIC does not receiv	ive any navment for order flow	, payment from any profit-sharing relationship, transa	action fees or any transaction	n rehates from our clea	ring firm National Financial Services	However National Finan	oial Carviage may raca	ive such permants. Places refer to their 606	report for additional de	tails	
validationa socialities, EEC 1	outes an trades to the	ii cicaring iiiii, 141 5.	vanderont becarries	s, LLC does not recen	ive any payment for order from	, payment from any profit-sharing relationship, transc	etion ices of any transaction	ii reduces from our elec	ing inn, National Financial Scrvices.	nowever, ivational i man	ciai services iliay rece	ive such payments. Please feler to their 600	report for additional de	tans.	

Other Orders as % of

Non-Directed Orders

Other Orders

37.7

Other Orders as % of

Non-Directed Orders

0.00

100.00

Citadel Securities LC(CDRG) 1,645 30.62 1,597 23.26 11.27 National Financial Services LLC(XSTM) 17.00 17.83 37.21 Jane Street Capital,

Marketable Limit

Directed Orders

otal Non-Marketable Limit Orders

Total Marketable Limit Orders as % of Non-

25.24

12.83

12.00

12.17

0.00

Market Orders as % of

3,176

1,615

1,510

1,531

Market Orders as % of

Non-Directed Orders

Total Marketable Lim

Orders

Non-Directed Orders

Total Market Orders

22.91

11.70

11.48

11.03

4.31

Orders as % of Non-

Directed Orders

Marketable Limit

Orders

LLC(JNST)	704	14.59	/58	15.06	/	16.28	19 6.63	9	0.00	U	U C	U	U	٥
G1 Execution Services, LLC(ETMM)	765	14.24	753	14.96	2	4.65	10 3.52	2	0.00	0 0	0 (0	0	0
IRTU Americas, .C(NITE)	742	13.81	717	14.25	3	6.98	15 5.29	3	53.85	0 0	0	0	0	0
RT FINANCIAL P(HRTF)	170	3 16	163	3 24	5	11.63	2 0.70		0.00	0	0		0	0
wo Sigma Securities,	170	3.10	103	3.24	3	11.05	0.70		1				0	
LC(SOHO) New York Stock	88	1.64	85	1.69	0	0.00	3 1.00		0.00	0 0	0	0	0	0
Exchange(NYSE) Cboe EDGX US	64	1.19	0	0.00	0	0.00	64 22.54	1 (0.00	0 0	0 (0 0	0	0
Equities Exchange(EDGX)	55	1.02	0	0.00	0	0.00	55 19.3°	7	0.00	0 0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	52	0.97	0	0.00	0	0.00	52 18.33	1 (0.00	0 0	0 (0	0	0
		r clearing firm, NF	S. Vanderbilt Securit	ties, LLC does not red	ceive any payment for order flow, payr	nent from any profit-sharing relationship, trans	saction fees or any transaction	n rebates from our cle	aring firm, National Financial Se	rvices. However, National Financial S	Services may receive such payments. Ple	ease refer to their 606 report for a	dditional details.	
National Financial Services(XST) Nanderbilt Securities, LLC routes	,	r clearing firm, NF	S. Vanderbilt Securit	ties, LLC does not red	ceive any payment for order flow, payr	nent from any profit-sharing relationship, trans	saction fees or any transaction	n rebates from our cle	aring firm, National Financial Se	rvices. However, National Financial S	Services may receive such payments. Ple	ease refer to their 606 report for a	dditional details	
VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes	all trades to their	r clearing firm, NF	S. Vanderbilt Securit	ties, LLC does not red	ceive any payment for order flow, payr	nent from any profit-sharing relationship, trans	saction fees or any transaction	n rebates from our cle	aring firm, National Financial Se	rvices. However, National Financial S	Services may receive such payments. Ple	ease refer to their 606 report for a	dditional details.	

G1 Execution Services, LLC (ETMM):

JANE STREET CAPITAL, LLC(JNST):

NASDAQ Execution Services, LLC(NSDQ):

Non-Directed Orders

3,552

1,813

1,780

1,710

668

as % of All Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Two Sigma Securities, LLC(SOHO): December 2024 Non-S&P 500 Stocks

Non-Marketable Limi

Orders as % of Non-

Directed Orders

18.41

10.00

13.41

6.36

0.00

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Venues Net Payment Paid/ Net Payment Paid/ Received for Net Payment Paid/ Received for Non-Received for Net Payment Paid/ Net Payment Paid/ Received for Market Marketable Limit Received for Non-Marketable Limit Marketable Limit Received for Market Non-Directed Orders(cents per Marketable Limit Orders(cents per Orders(cents per Received for Other Venue - Non-directed Marketable Limit Non-Marketable Limit Non-Marketable Limit Order Flow Non-Directed Orders Market Orders Market Orders(%) Marketable Limit Orders(%) Other Orders(%) Orders(USD) Orders(%) Orders Orders(%) Other Orders nundred shares) Orders(USD) hundred shares) Orders(USD) hundred shares) Orders(USD) National Financial 4,147 26.75 3,973 39.55 Services LLC(XSTM

668

Total Other Orders

VIRTU Americas, LLC(NITE) G1 Execution Services, LLC(ETMM) Cboe EDGX US Equities Exchange(EDGX)

NASDAQ Execution Services, LLC(NSDQ):

Non-Directed Orders

Total Market Orders

as % of All Orders

Members Exchange(MEMX):

Option Contracts

Total Non-Directed

Citadel Securities

Jane Street Capital,

LLC(CDRG)

LLC(JNST)

Summary

Total Non-Directed

0																		
NASDAQ Execution Services, LLC(NSDQ)	505	3.26	0	0.00	0	0.00	505	20.58	0	0.00	0		0	0	0	0	0	0
FINANCIAL HRTF)	417	2.69	373	2.96	11	2.50	33	1.34	0	0.00	0			0	0	0	0	0
Sigma Securities, SOHO)	267	1.72	239	1.90	3	0.68	25	1.02	0	0.00	0			0	0	0	0	o
mbers nange(MEMX)	239	1.54	0	0.00	0	0.00	239	9.74	0	0.00	0			0	0	0	0	0
tional Financial Services(Anderbilt Securities, LLC re		neir clearing firm, NF	FS. Vanderbilt Securi	ities, LLC does not re	eceive any payment for	r order flow, payment from any profit-sharing rel	lationship, transaction	fees or any transaction	n rebates from our clea	ring firm, National Fi	nancial Services. How	ever, National Financ	cial Services may r	eceive such payments	. Please refer to their 60	6 report for additional c	etails	
IRTU Americas, LLC (NIT anderbilt Securities, LLC ro	/	neir clearing firm, NF	FS. Vanderbilt Securi	ities, LLC does not re	eceive any payment for	r order flow, payment from any profit-sharing rel	lationship, transaction	fees or any transaction	n rebates from our clea	ring firm, National Fi	nancial Services. How	ever, National Finan	cial Services may r	eceive such payments	. Please refer to their 60	6 report for additional o	etails.	
1 Execution Services, LLC anderbilt Securities, LLC re		neir clearing firm, NF	FS. Vanderbilt Securi	ities, LLC does not re	eceive any payment for	r order flow, payment from any profit-sharing rel	lationship, transaction	fees or any transaction	n rebates from our clea	ring firm, National Fi	nancial Services. How	ever, National Financ	cial Services may r	eceive such payments	. Please refer to their 60	6 report for additional o	etails.	
NE STREET CAPITAL, I	, ,																	

11.53

8.07

27.22

Other Orders as % of

Non-Directed Orders

48.00

0.00

52.00

0.00

0.00

Net Payment Paid/

Net Payment Paid/

Received for

Net Payment Paid/

Received for Non-

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction fees or any transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details. HRT FINANCIAL LP(HRTF): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Non-Marketable Limi

Orders as % of Non-

Directed Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Two Sigma Securities, LLC(SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. December 2024

Total Other Orders

otal Non-Marketable Limit Orders

Marketable Limit

Orders as % of Non-

Directed Orders

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders		Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	•	Orders(cents per	Marketable Limit Orders(USD)	Orders(cents per hundred shares)	Marketable Limit Orders(USD)	Orders(cents per	Received for Other	Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	819	42.70	527	45.75	5:	1 45.54	241	36.91		0.0	0 (0	0	(0) () 0)
Dash Financial Technologies .LC(DASH)	436	22.73	251	21.79	25	5 22.32	2 160	24.50		0.0	0 (0	0	() 0) (0	
Susquehanna Capital Group(SUSQ)	315	16.42	273	23.70	24	1 21.43	3 17	2.60		1 100.0	0 () 0	0	(0) () 0)
Wolverine Execution Services LLC(WEXX)	244	12.72	27	2.34	į	5 4.46	5 212	32.47		0.0	0 (0	0	(0) (0)
Morgan Stanley & Company LLC(MSCO)	104	5.42	74	6.42	-	7 6.25	5 23	3.52		0.0	0 (0	0	(0) (0)

Other Orders as % of

Non-Directed Orders

Susquehanna Capital Group (SUSQ): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Wolverine Execution Services LLC (WEXX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Dash Financial Technologies LLC (DASH) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. Morgan Stanley & Company LLC (MSCO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa