#### VANDERBILT SECURITIES, LLC - Held NMS Stocks and Options Order Routing Public Report Generated on Wed Oct 09 2024 02:24:40 GMT-4 EDT

#### 3rd Quarter, 2024

#### July 2024

S&P 500 Stocks

Summary									
					Marketable Limit		Non-Marketable Limit		
Total Non-Directed	Non-Directed Orders		Market Orders as % of	Total Marketable Limit	Orders as % of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
Orders	as % of All Orders	Total Market Orders	Non-Directed Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
2,585	100.00	2,325	89.94	64	2.48	174	6.73	22	0.85

Venues																		
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)			Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)		Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC(NITE)	753	28.36	692	2 29.75	5 22	35.48	24	9.80	15	68.18	C	)				) (C	C	0
Citadel Securities LLC(CDRG)	744	28.02	695	5 29.88	3 16	5 25.81	27	11.02	6	5 27.27	C	)	)	)		) (	C	0 0
G1 Execution Services, LLC(ETMM)	485	18.27	467	7 20.08	3 9	) 14.52	8	3.27	1	4.55	C	) (	) (	) (	) (	) (C	C	J 0
Jane Street Capital, LLC(JNST)	432	16.27	409	) 17.58	3 13	3 20.97	10	4.08	C	0.00	C	) (	) (	) (	) (	) (C	C	J 0
NASDAQ Execution Services, LLC(NSDQ)	69	2.60	0	0.00	р с	0.00	69	28.16	C	0.00	C	) (	) (	) (	) (	) (C	C	J 0
Cboe EDGX US Equities Exchange(EDGX)	65	2.45	O	0.00		0.00	65	26.53	C	0.00	C						C	0 0
UBS Securities, LLC(UBSS)	32	1.21	30	) 1.29	) 1	1.61	1	0.41	C	0.00	C	) (	) (	) (	) (	) (C	0	۰ د
StoneX Financial INC. (INTL)	23	0.87	23	3 0.99	e c	0.00	0	0.00	C	0.00	C	) (	) (	) (	) (	) C	0	0
New York Stock Exchange(NYSE)	23	0.87	O	0.00	D C	0.00	23	9.39	C	0.00	C	) (	) (	) (	) (	) C	0	0
Members Exchange(MEMX)	18	0.68	O	0.00	D C	0.00	18	7.35	C	0.00	C	) (	) (	) (	) (	) C	0	) 0

# Material Aspects:

Citadel Securities LLC (CDRG):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### VIRTU Americas, LLC (NITE):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# G1 Execution Services, LLC (ETMM):

JANE STREET CAPITAL, LLC(JNST)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# NASDAQ Execution Services, LLC(NSDQ):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for additional details.

# Cboe EDGX US Equities Exchange(EDGX)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for additional details.

#### Members Exchange(MEMX):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details

### New York Stock Exchange(NYSE):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

### UBS Securities, LLC(UBSS):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# StoneX Financial INC.(INTL):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. July 2024

Summary

Non-S&P 500 Stocks

					Marketable Limit		Non-Marketable Limit		
Total Non-Directed	Non-Directed Orders		Market Orders as % of	Total Marketable Limit	Orders as % of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
Orders	as % of All Orders	Total Market Orders	Non-Directed Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
 4 000	100.00	2 010	77.61	100	1 02	940	17 11	61	1 24

#### Venues

	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders			Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Received for Market Orders(cents per	Net Payment Paid/ Received for Marketable Limit	Marketable Limit Orders(cents per	Net Payment Paid/ Received for Non- Marketable Limit	Orders(cents per	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC(NITE)	1,334	24.11	1,137	29.45	58	25.66	98	7.08	41	1 67.21	0	0	0	0	0	C	0	) 0
Citadel Securities LLC(CDRG)	1,218	22.01	. 1,057	27.38	54	23.89	87	6.28	20	32.79	0	0	0	0	0	C	0	) 0
G1 Execution Services, LLC(ETMM)	899	16.25	799	20.69	43	19.03	57	4.12	C	0.00	0	0	0	0	0	C	0	0
Jane Street Capital, LLC(JNST)	811	14.66	730	18.91	32	14.16	49	3.54	C	0.00	0	0	0	0	0	C	0	0
NASDAQ Execution Services, LLC(NSDQ)	405	7.32	0	0.00	1	. 0.44	404	29.17	C	0.00	0	0	0	0	0	C	0	0
Cboe EDGX US Equities Exchange(EDGX)	384	6.94	0	0.00	0	0.00	384	27.73	C	0.00	0	0	0	0	0	C	) 0	) 0
Members Exchange(MEMX)	120	2.17	0	0.00	0	0.00	120	8.66	C	0.00	0	0	0	0	0	C	0	) 0
New York Stock Exchange(NYSE)	89	1.61	. 0	0.00	0	0.00	89	6.43	C	0.00	0	0	C	0	0	C	0	) 0
Instinet BlockCross(BLKX)	83	1.50	52	1.35	31	. 13.72	0	0.00	C	0.00	0	0	0	0	0	C	0	) 0
NYSE Arca(ARCA)	55	0.99	0	0.00	0	0.00	55	3.97	(	0.00	0	0	0	0	0	C	0 0	0

#### Material Aspects:

Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### VIRTU Americas, LLC (NITE):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

### G1 Execution Services, LLC (ETMM)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# JANE STREET CAPITAL, LLC(JNST):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# NASDAQ Execution Services, LLC(NSDQ):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

### Cboe EDGX US Equities Exchange(EDGX)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# Members Exchange(MEMX):

New York Stock Exchange(NYSE):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# Instinet BlockCross(BLKX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

NYSE Arca(ARCA):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# July 2024

#### **Option Contracts**

Summary									
					Marketable Limit		Non-Marketable Limit		
Total Non-Directed	Non-Directed Orders		Market Orders as % of	Total Marketable Limit	Orders as % of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
Orders	as % of All Orders	Total Market Orders	Non-Directed Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
1,533	100.00	813	53.03	95	6.20	621	40.51	4	0.26

Venues																		
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders		Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)			Net Payment Paid/	Received for Market Orders(cents per	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	686	44.43	379	46.50	37	7 46.84	270	) 41.80		0.00	) (	0 0	C		0	D	0 (	o c
Dash Financial Technologies LLC(DASH)	355	22.99	178	21.84	. 21	1 26.58	153	23.68		3 75.00	)	) (	C		0	D	0 (	0 0
Susquehanna Capital Group(SUSQ)	207	13.41	177	21.72	10	0 12.66	19	2.94	· · · · · ·	1 25.00	) (	0	C		0	0	0 (	0 0
Wolverine Execution Services LLC(WEXX)	192	12.44	4	0.49		4 5.06	184	28.48	(	0.00	)	) (	(		0	0	0 (	0
Morgan Stanley & Company LLC(MSCO)	104	6.74	77	9.45		7 8.86	20	3.10	(	0.00	)	0 0	(		0	0	0 (	0 0

#### Material Aspects:

Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for additional details.

## Susquehanna Capital Group (SUSQ):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

### Wolverine Execution Services LLC (WEXX):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# Dash Financial Technologies LLC (DASH):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# Morgan Stanley & Company LLC (MSCO):

3,282

100.00

3,063

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. August 2024

3.60

S&P 500 Stocks									
Summary									
					Marketable Limit		Non-Marketable Limit		
Total Non-Directed	Non-Directed Orders		Market Orders as % of	Total Marketable Limit	Orders as % of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
Orders	as % of All Orders	Total Market Orders	Non-Directed Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders

1.13

118

93.33

Venues																		
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders			Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
VIRTU Americas,																		
LLC(NITE)	929	27.69	863	28.17	/ 12	33.33	1/	8.85	3.	57.81	(	0 (	)	)	0 0	)	)	J 0
Citadel Securities LLC(CDRG)	890	26.53	841	27.46	5 10	27.78	16	8.33	23	35.94		0 0	)	0	o c		D	о о
Jane Street Capital, LLC(JNST)	746	22.24	725	23.67	7 10	) 27.78	11	5.73	(	0.00	(	0 0	)	)	0 0	)		0 0
G1 Execution Services, LLC(ETMM)	551	16.42	536	17.50	0	11.11	11	5.73	(	0.00	(	0 0	)	)	0 0	)	D	0 0
New York Stock Exchange(NYSE)	46	1.37	0	0.00	0 (	0.00	46	23.96	(	0.00	(	0 0	)	)	0 0	)	D	0 0
NASDAQ Execution Services, LLC(NSDQ)	42	1.25	0	0.00	0 (	0.00	42	21.88	(	0.00	(	0 0	)	)	0 0	)	D	0 0
Cboe EDGX US Equities Exchange(EDGX)	39	1.16	0	0.00	) (	0.00	39	20.31	(	0.00		0 0	)	)	0 0		D	0 0
StoneX Financial INC. (INTL)	30	0.89	28	0.91	1 (	0.00	2	1.04	(	0.00	(	0 0	)	)	0 0	)	D	0 0
UBS Securities, LLC(UBSS)	30	0.89	29	0.95	5 (	0.00	0	0.00	1	1.56	(	0 0	)	)	0 0	)	D	0 0
Two Sigma Securities, LLC(SOHO)	29	0.86	26	0.85	5 (	0.00	0	0.00		4.69	(	0 0	)		0 0	)	D	0 0

1.95

#### Material Aspects: VIRTU Americas, LLC (NITE):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### Citadel Securities LLC (CDRG):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

### JANE STREET CAPITAL, LLC(JNST):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details

# G1 Execution Services, LLC (ETMM):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# Cboe EDGX US Equities Exchange(EDGX)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# NASDAQ Execution Services, LLC(NSDQ):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### Members Exchange(MEMX):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### UBS Securities, LLC(UBSS):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### StoneX Financial INC.(INTL):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for additional details.

# Two Sigma Securities, LLC(SOHO):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. August 2024

# Non-S&P 500 Stocks

_	Summary									
						Marketable Limit		Non-Marketable Limit		
	Total Non-Directed	Non-Directed Orders		Market Orders as % of	Total Marketable Limit	Orders as % of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
	Orders	as % of All Orders	Total Market Orders	Non-Directed Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
ĺ	10,040	100.00	8,694	86.59	208	2.07	1,094	10.90	44	0.44

/enues																		
	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders		Non-Marketable Limit Orders		Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
/IRTU Americas, LLC(NITE)	2,748	26.21	2,532	28.98	3 73	30.93	116	7.90	27	61.36	0	) (	C	(			0	ט
Citadel Securities LC(CDRG)	2,631	25.09	2,461	. 28.17	7 66	5 27.97	90	6.13	14	31.82	C	) (	C	(		) (	0	J
ane Street Capital, LC(JNST)	2,087	19.90	) 1,972	22.57	7 32	2 13.56	83	5.65	0	0.00	0	) (	C	(			0	2
61 Execution Services, LLC(ETMM)	1,553	14.81	1,449	16.58	3 33	3 13.98	71	4.83	0	0.00	C	) (	C	(		) (	) 0	2
Cboe EDGX US quities Exchange(EDGX)	453	4.32	2 0	0.00		0.00	453	30.84	0	0.00		) (	C	(			) 0	2
IASDAQ Execution ervices, LLC(NSDQ)	304	2.90	) 0	0.00		0.00	304	20.69	0	0.00		) (	C	(	) (	) (	) 0	р С
1embers xchange(MEMX)	199	1.90	) 0	0.00		0.00	199	13.55	0	0.00	O	) (	C	(		) (	) 0	2 2
IBS Securities, LC(UBSS)	108	1.03	96	5 1.10	5	5 2.12	4	0.27	3	6.82	0	) (	C	(			) 0	2
toneX Financial INC. NTL)	90	0.86	5 85	0.97	7 2	2 0.85	3	0.20	0	0.00	O	) (	C	(			) 0	2 2
wo Sigma Securities, LC(SOHO)	78	0.74	75	0.86	5 1	0.42	2	0.14	0	0.00	C	) (	C	(			) 0	2 2

#### Material Aspects: VIRTU Americas, LLC (NITE):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### Citadel Securities LLC (CDRG):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for additional details.

# JANE STREET CAPITAL, LLC(JNST):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details

# G1 Execution Services, LLC (ETMM)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# Cboe EDGX US Equities Exchange(EDGX)

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# NASDAQ Execution Services, LLC(NSDQ):

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# Members Exchange(MEMX):

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# UBS Securities, LLC(UBSS):

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#### StoneX Financial INC.(INTL):

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# Two Sigma Securities, LLC(SOHO):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# August 2024

#### **Option Contracts** Summary

Venues

					Marketable Limit		Non-Marketable Limit		
Total Non-Directed	Non-Directed Orders		Market Orders as % of	Total Marketable Limit	Orders as % of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
Orders	as % of All Orders	Total Market Orders	Non-Directed Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
1.515	100.00	720	47 52	83	5 48	712	47.00	0	0.00

	Non-Directed Orders	Non-Directed Orders(%)	Market Orders		Marketable Limit Orders		Non-Marketable Limit Orders	: Non-Marketable Limit Orders(%)		Other Orders(%)		Received for Market Orders(cents per	Net Payment Paid/ Received for Marketable Limit	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit	Orders(cents per	Net Payment Paid/	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	695	44.10	344	44.79	44	47.83	30	7 42.88	0	0.00	0	C	0	(	0 0		o o	0
Dash Financial Technologies LLC(DASH)	329	20.88	3 156	20.31	13	14.13	160	22.35	0	0.00	0	C	0	(	0 0		D 0	0
Wolverine Execution Services LLC(WEXX)	234	14.85	5 24	3.13	5	5.43	20!	5 28.63	0	0.00	0	C	0	C	0		0 0	0
Susquehanna Capital Group(SUSQ)	208	13.20	) 171	22.27	19	20.65	18	3 2.51	0	0.00	0	C	0	(	0		D O	0
Morgan Stanley & Company LLC(MSCO)	110	6.98	3 73	9.51	11	11.96	20	5 3.63	0	0.00	0	C	0	(	0 0		D O	0

# Material Aspects:

Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

### Susquehanna Capital Group (SUSQ):

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Wolverine Execution Services LLC (WEXX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### Dash Financial Technologies LLC (DASH):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# Morgan Stanley & Company LLC (MSCO):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

er Orders as % of -Directed Orders

# September 2024

#### S&P 500 Stocks

Summary									
					Marketable Limit		Non-Marketable Limit		
Total Non-Directed	Non-Directed Orders		Market Orders as % of	Total Marketable Limit	Orders as % of Non-	Total Non-Marketable	Orders as % of Non-		Other
Orders	as % of All Orders	Total Market Orders	Non-Directed Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-
3,061	100.00	2,899	94.71	30	0.98	118	3.85	14	

Venues

	Non-Directed Orders	Non-Directed Orders(%)	Market Orders					Non-Marketable Limit Orders(%)	Other Orders		Net Payment Paid/ Received for Market Orders(USD)	Received for Market Orders(cents per		Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Orders(cents per	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	902	26.04	868	26.94	12	35.29	13	6.70	9	64.29	0	0	0	C	C	0	C	0
VIRTU Americas, LLC(NITE)	700	20.21	. 669	20.76	7	20.59	19	9.79	5	35.71	0	0	0	C	C	0	C	0
G1 Execution Services, LLC(ETMM)	650	18.76	632	19.62	6	17.65	12	6.19	0	0.00	0	0	0	C	C	) 0	C	0
Jane Street Capital, LLC(JNST)	598	17.26	586	18.19	4	11.76	8	4.12	0	0.00	0	0	0	C	C	) 0	C	0
National Financial Services LLC(XSTM)	363	10.48	358	11.11	5	14.71	0	0.00	0	0.00	0	0	0	C	C	) 0	C	0 0
New York Stock Exchange(NYSE)	52	1.50	0	0.00	C	0.00	52	26.80	0	0.00	0	0	0	C	C	) 0	C	) 0
Cboe EDGX US Equities Exchange(EDGX)	41	1.18	0	0.00	C	0.00	41	21.13	0	0.00	C	0	0	C	C	0	C	) 0
Two Sigma Securities, LLC(SOHO)	33	0.95	33	1.02	C	0.00	0	0.00	0	0.00	0	0	0	C	C	) 0	C	0
UBS Securities, LLC(UBSS)	32	0.92	32	0.99	C	0.00	0	0.00	0	0.00	0	0	0	C	) C	) 0	C	) 0
NASDAQ Execution Services, LLC(NSDQ)	31	0.89	0	0.00	C	0.00	31	15.98	0	0.00	0	0	0	C	C	) 0	C	0

### Material Aspects:

Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# VIRTU Americas, LLC (NITE):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

### G1 Execution Services, LLC (ETMM):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# JANE STREET CAPITAL, LLC(JNST):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### Natonal Financial Services, LLC(XSTM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Cboe EDGX US Equities Exchange(EDGX)

# Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Two Sigma Securities, LLC(SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### UBS Securities, LLC(UBSS):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### NASDAQ Execution Services, LLC(NSDQ):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

### September 2024

#### Non-S&P 500 Stocks Summary

						Marketable Limit		Non-Marketable Limit		
	Total Non-Directed	Non-Directed Orders		Market Orders as % of	Total Marketable Limit	Orders as % of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
	Orders	as % of All Orders	Total Market Orders	Non-Directed Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
[	6,307	100.00	5,206	82.54	180	2.85	900	14.27	21	0.33

### Venues

Venue - Non-directed Order Flow		Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders		Non-Marketable Limit Orders		Other Orders	Other Orders(%)	Net Payment Paid/ Received for Market Orders(USD)	Received for Market Orders(cents per	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	1,682	20.39	) 1,507	, 22.74	49	) 16.78	110	8.41	16	76.19	C	) C		) (	)	0 (	D	0
National Financial Services LLC(XSTM)	1,548	18.77	1,457	, 21.99	91	31.16	0	0.00	C	0.00	C	) C		) (	)	0 (	D	0
VIRTU Americas, LLC(NITE)	1,391	16.86	5 1,256	18.95	5 50	) 17.12	81	6.19	2	19.05	C	) C		) (	)	0 (	D	0
G1 Execution Services, LLC(ETMM)	1,212	14.69	) 1,105	16.67	36	5 12.33	71	5.43	(	0.00	C	) C	(	) (	)	0 (	D	0
Jane Street Capital, LLC(JNST)	1,181	14.32	2 1,083	16.34	36	5 12.33	62	4.74	(	0.00	C	) C	(	) (	)	0 (	D	0
Cboe EDGX US Equities Exchange(EDGX)	386	4.68	3 0	0.00	) (	0.00	386	29.51	(	0.00	C			) (		0 0	D	0
NASDAQ Execution Services, LLC(NSDQ)	268	3.25	5 0	0.00	) 1	0.34	267	20.41	(	0.00	C	) C	(	) (	)	0 (	D	0
Members Exchange(MEMX)	156	1.89	) 0	0.00	) (	0.00	156	11.93	(	0.00	C	) C	(	) (	)	0 (	D	0
New York Stock Exchange(NYSE)	92	1.12	2 0	0.00	) (	0.00	92	7.03	(	0.00	C	) C	(	) (	)	0 (	D	0
Instinet BlockCross(BLKX)	69	0.84	41	0.62	2 28	9.59	0	0.00	(	0.00	C					0	D	0

### Material Aspects:

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#### Natonal Financial Services, LLC(XSTM):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### VIRTU Americas, LLC (NITE):

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# G1 Execution Services, LLC (ETMM):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### JANE STREET CAPITAL, LLC(JNST):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### Cboe EDGX US Equities Exchange(EDGX)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

### NASDAQ Execution Services, LLC(NSDQ):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### Members Exchange(MEMX):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# New York Stock Exchange(NYSE):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# Instinet BlockCross(BLKX):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# September 2024

#### **Option Contracts** Summary

						Marketable Limit		Non-Marketable Limit		
	Total Non-Directed	Non-Directed Orders		Market Orders as % of	Total Marketable Limit	Orders as % of Non-	Total Non-Marketable	Orders as % of Non-		Other Orders as % of
	Orders	as % of All Orders	Total Market Orders	Non-Directed Orders	Orders	Directed Orders	Limit Orders	Directed Orders	Total Other Orders	Non-Directed Orders
<u>Г</u>	1,448	100.00	753	52.00	96	6.63	599	41.37	0	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)		Marketable Limit Orders(%)	Non-Marketable Limit Orders				Net Payment Paid/ Received for Market	Received for Market Orders(cents per	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities													0.0000(0002)		0.00.0(002)			
LLC(CDRG)	644	43.87	379	50.33	41	43.62	224	36.07	0	0.00	) ()	)	) 0	(	) 0	C	0	0
Dash Financial Technologies																		
LLC(DASH)	262	17.85	5 114	15.14	15	15.96	5 133	21.42	0	0.00	0	)	0	0	0	C	<i>i</i> 0	<i>i</i> 0
Susquehanna Capital Group(SUSQ)	261	17.78	3 217	28.82	27	28.72	2 17	2.74	C	0.00	) C	) (	) 0	C	) 0	C	0	) 0
Wolverine Execution Services LLC(WEXX)	240	16.35	5 1	0.13	7	7.45	5 232	37.36	C	0.00	) C	) (	) 0		) 0	C	0	) 0
Morgan Stanley & Company LLC(MSCO)	61	4.16	5 42	5.58	4	4.26	5 15	2.42	0	0.00	) C	) (	0	C	0	C	0	) 0

#### Material Aspects:

Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### Susquehanna Capital Group (SUSQ):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# Wolverine Execution Services LLC (WEXX):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

# Dash Financial Technologies LLC (DASH):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

#### Morgan Stanley & Company LLC (MSCO):

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.