Total Other Orders

2nd Quarter, 2024

otal Non-Marketable Limit Orders

Marketable Limit

Orders as % of Non-

Directed Orders

0.51

Market Orders as % of Total Marketable Limit

Non-Directed Orders Orders

Net Payment Paid/ Net Payment Paid/ Received for Net Payment Paid/ Received for Non-Net Payment Paid/ Received for Market Received for Other Net Payment Paid/ Received for Marketable Limit Received for Non-Marketable Limit Net Payment Paid/ Received for Market Venue - Non-directed Non-Directed Marketable Limit Non-Marketable Limit Non-Marketable Limit Orders(cents per Marketable Limit Orders(cents per Marketable Limit Orders(cents per Received for Other Orders(cents per Market Orders(%) Orders(USD) Order Flow Non-Directed Orders Orders(%) Market Orders Orders Marketable Limit Orders(%) Orders Orders(%) Other Orders Other Orders(%) nundred shares) Orders(USD) hundred shares) Orders(USD) hundred shares) Orders(USD) hundred shares) Citadel Securities 46.15 LC(CDRG) 31.79 33.82 VIRTU Americas, LLC(NITE) 25.61 27.23 30.77 66.67 Jane Street Capital, 19.97 11.54 0.00 LC(JNST) 18.30 2.49 G1 Execution 16.04 17.14 11.54 0.00 Services, LLC(ETMM) NASDAQ Execution 2.44 0.00 0.00 28.63 0.00 Services, LLC(NSDQ) Cboe EDGX US Equities 22.42 Exchange(EDGX) 0.00 New York Stock 0.00 17.03 0.00 Exchange(NYSE) Members 0.71 0.00 0.00 Exchange(MEMX) 0.00 8.30 UBS Securities, 0.64 0.67 0.42 0.00 LC(UBSS) 0.00

Other Orders as % of

Non-Directed Orders

0.00

Received for

Marketable Limit

Orders(cents per

hundred shares)

Net Payment Paid/

Received for

Orders(USD)

Marketable Limit

Net Payment Paid/

Received for Market

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Market

Orders(USD)

Net Payment Paid/

Received for Non-

Marketable Limit

Orders(USD)

Received for Non-

Net Payment Paid/

Received for Other

Orders(USD)

Marketable Limit

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Other

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Other

Net Payment Paid/

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Orders(cents per

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Net Payment Paid/

Received for Other

Orders(USD)

Net Payment Paid/

Received for Other

Orders(USD)

Net Payment Paid/

Received for Other

Orders(USD)

Net Payment Paid/

Material Aspects: Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Non-Marketable Limi

Orders as % of Non-

Directed Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Members Exchange(MEMX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for additional details. Two Sigma Securities, LLC(SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Cboe EDGX US Equities Exchange(EDGX)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

UBS Securities, LLC(UBSS): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

April 2024 Non-S&P 500 Stocks

Summary Non-Marketable Lim Total Non-Directed Non-Directed Orders Market Orders as % of Total Marketable Limit Orders as % of Non-Orders as % of Nonas % of All Orders Total Market Orders Non-Directed Orders Orders **Directed Orders** otal Non-Marketable Limit Orders Directed Orders

Market Orders(%)

Venues Non-Directed Marketable Limit Non-Marketable Limit Non-Marketable Limit Venue - Non-directed

Orders

Marketable Limit Orders(%)

1,825 LC(CDRG) 29.14 1,596 33.7 32.48 10.16 VIRTU Americas, 22.93 1,270 1,436 26.84 24.84 69.23 LLC(NITE) Jane Street Capital, 1,007 16.08 912 19.28 15.92 0.00 LLC(JNST) G1 Execution 15.04 17.50 13.38 3.85 Services, LLC(ETMM Cboe EDGX US Equities 31.82 0.00 Exchange(EDGX) NASDAQ Execution 0.00 0.00 22.84 0.00 Services, LLC(NSDQ Members 2.30 0.00 0.00 12.09 0.00 Exchange(MEMX) 0.63 12.10 0.00 BlockCross(BLKX) 1.09 0.00 New York Stock 0.72 0.00 Exchange(NYSE) **UBS** Securities, 0.57 LLC(UBSS)

Total Other Orders

Orders(%)

Other Orders as % of

Non-Directed Orders

Other Orders

Other Orders(%)

Material Aspects: Citadel Securities LLC (CDRG) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details NASDAQ Execution Services, LLC(NSDQ): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Instinet BlockCross(BLKX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Members Exchange(MEMX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

April 2024

Option Contracts

Non-Marketable Lim

Orders as % of Non-

Directed Orders

Total Non-Directed

Material Aspects:

Citadel Securities LLC (CDRG):

Susquehanna Capital Group (SUSQ):

2,221

LLC(SOHO)

Material Aspects:

Non-S&P 500 Stocks

5,729

VIRTU Americas, LLC (NITE):

JANE STREET CAPITAL, LLC(JNST):

Wolverine Execution Services LLC (WEXX):

Dash Financial Technologies LLC (DASH)

Non-Directed

Non-Directed

25.56

Market Orders

Orders(%)

Non-Directed Orders

29.82

Market Orders

Orders(%)

Non-Directed Orders

Venue - Non-directed

Members Exchange(MEMX):

Venue - Non-directed

Citadel Securities LC(CDRG)

VIRTU Americas,

Total Non-Directed

Venues

Non-Directed Orders

as % of All Orders

Total Market Orders

Order Flow

Citadel Securities LLC(CDRG)

Order Flow

on-Directed Orders

otal Market Orders

is % of All Orders

Total Non-Directed

Venues

Citadel Securities LLC (CDRG):

Non-Directed Orders

as % of All Orders

Total Market Orders

April 2024

S&P 500 Stocks

Total Non-Directed

Two Sigma Securities,

LLC(SOHO)

Order Flow

Non-Directed Orders

Orders(%)

Market Orders

Non-Directed Orders

Total Market Orders

as % of All Orders

otal Non-Marketable Limit Orders

otal Non-Marketable Limit Orders

Orders as % of Non

Directed Orders

Market Orders as % of Total Marketable Limi

Non-Directed Orders Orders

Venues																		
	Non-Directed Orders	Non-Directed Orders(%)	Market Orders		Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders				Net Payment Paid/ Received for Market	Received for Market Orders(cents per	Net Payment Paid/ Received for Marketable Limit	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit	Orders(cents per	Net Payment Paid/ Received for Other	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	539	9 47.0	3 24	48.98	8 46	56.79	9 253	44.00		0.00	()	0	(0	0	C	0
Dash Financial Technologies LLC(DASH)	224	4 19.5	5 9	91 18.5	7 15	18.52	2 118	3 20.52		0.00			0		0			0
Wolverine Execution Services LLC(WEXX)	167	7 14.5	7	1 0.20) 4	4.94		28.17	7	0.00	() (0	(0	0	() 0
Susquehanna Capital Group(SUSQ)	166	6 14.4	9 13	33 27.1	4 12	14.81	1 21	3.65	5 (0.00	()	0	(0	0	C) 0
Morgan Stanley & Company LLC(MSCO)	50	0 4.3	6 2	25 5.10	o d	4.94	4 21	3.69	5	0.00			0		0	0	, c	0

Total Other Orders

Other Orders as % of

Non-Directed Orders

Wolverine Execution Services LLC (WEXX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction fees or any transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details. Dash Financial Technologies LLC (DASH): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Morgan Stanley & Company LLC (MSCO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa May 2024 S&P 500 Stocks

Total Other Orders

Orders as % of Non-

Directed Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction fees or any transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Summary Total Marketable Limit Non-Directed Orders Market Orders as % of Total Non-Directed Total Market Orders as % of All Orders Non-Directed Orders

0.75

Market Orders as % of

Non-Directed Orders

Total Marketable Lim

Orders as % of Non-

Directed Orders

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders			Non-Marketable Limit Orders(%)			Net Payment Paid/	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit	Marketable Limit Orders(cents per	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	670	30.08	640	21 20	1.	1 37.93	11	7.97	17	37.78								
VIRTU Americas,	0/9	30.00	040	31.30	1.	37.93	11	7.57	17	37.76		0	0	,	, <u> </u>	0		4 0
LLC(NITE)	615	27.25	579	9 28.31	10	34.48	7	5.07	19	42.22	2	0	0			0	0	0
Jane Street Capital,																		†
LLC(JNST)	414	18.34	396	19.36		13.79	14	10.14	C	0.00	0	0	0	0)	0	0	٥
G1 Execution																		
Services, LLC(ETMM)	394	17.46	376	18.39		10.34	14	10.14	1	2.22		0	0)	0	0	<u>)</u>
Cboe EDGX US																		
Equities Exchange(EDGX)	42	1 00		0.00		0.00	42	30.43	 	0.00								
NASDAQ Execution	42	1.00		0.00	•	0.00	42	30.43	<u></u>	0.00	7	0	0	,	, <u> </u>	0		7 0
Services, LLC(NSDQ)	22	0.97	7	0.00		0.00	22	15.94	C	0.00			0			0	0	0
StoneX Financial INC.																		†
(INTL)	19	0.84	1	0.93		0.00	0	0.00	C	0.00	0	0	0	0		0	0	0
UBS Securities,																		
LLC(UBSS)	18	0.80	13	0.64		3.45	0	0.00	4	8.89		0	0)	0	0	<u>)</u> 0
New York Stock	4-	0.75		2 22			4-	40.00										
Exchange(NYSE)	1/	0.75	(0.00	(0.00	1/	12.32	C	0.00	(0	0	()	U	U	<u> </u>
Two Sigma Securities,			1				1											

Other Orders as % of

Non-Directed Orders

VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa NASDAQ Execution Services, LLC(NSDQ): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. StoneX Financial INC.(INTL): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Two Sigma Securities, LLC(SOHO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. May 2024

otal Other Orders

Non-Marketable Limit

Orders as % of Non-

Directed Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Orders as % of Non

tal Non-Marketable Limit Orders

Directed Orders

/enue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders		Marketable Limit Orders		Non-Marketable Limit Orders	Orders(%)	Other Orders		Received for Market Orders(USD)	hundred shares)	Marketable Limit Orders(USD)	Orders(cents per hundred shares)	Marketable Limit Orders(USD)	Orders(cents per hundred shares)	Received for Other Orders(USD)	Orders(cents per hundred shares)
tadel Securities C(CDRG)	1,533	23.09	1,289	31.12	91	28.00	147	6.89	6	15.38	C)	0	0	0	0	0	0
RTU Americas, C(NITE)	1,299	19.57	1,075	25.95	67	7 20.62	134	6.29	23	58.97	, C)	0	0	0	0	0	0
Execution vices, LLC(ETMM)	1,042	15.70	898	21.68	53	16.31	82	3.85	g	23.08	C)	0	0	0	0	0	0
e Street Capital, (JNST)	876	13.20	746	18.01	. 43	13.23	87	4.08	(0.00	C)	0	0	0	0	0	0
SDAQ Execution rvices, LLC(NSDQ)	726	10.94	0	0.00	18	5.54	708	33.21	(0.00	C)	0	0	0	0	0	0
oe EDGX US uities :hange(EDGX)	606	9.13	0	0.00)	0.00	606	28.42	C	0.00	C)	0	0	0	0	0	0
embers change(MEMX)	193	2.91	0	0.00) (0.00	193	9.05	(0.00	C)	0	0	0	0	0	0
tinet ckCross(BLKX)	98	1.48	49	1.18	49	15.08	0	0.00	(0.00	C)	0	0	0	0	0	0
w York Stock change(NYSE)	85	1.28	0	0.00) (0.00	85	3.99	C	0.00	C)	0	0	0	0	0	0
oneX Financial INC. NTL)	50	0.75	45	1.09	2	0.62	3	0.14	(0.00	C)	0	0	0	0	0	0

Other Orders as % of

Non-Directed Orders

Net Payment Paid/

Received for Market

Net Payment Paid/

Orders(cents per

undred shares)

Net Payment Paid/

Orders(USD)

Other Orders(%)

Received for Market

Received for Market

Received for Market

Received for Market

Orders(cents per

nundred shares)

Net Payment Paid/

Orders(cents per

undred shares)

Net Payment Paid/

Received for Market

Orders(USD)

50.00

eceived for Market

Net Payment Paid,

Received for

Orders(USD)

Marketable Limit

Received for

Marketable Limit

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Non-

Marketable Limit

Orders(USD)

Received for Non-

Marketable Limit

Orders(cents per

hundred shares)

Received for

Orders(USD)

Marketable Limit

Marketable Limit

Orders(cents per

hundred shares)

Received for Non-

Marketable Limit

Orders(USD)

Marketable Limit

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Market

Orders(USD)

Other Orders(%)

34.38

0.00

9.38

Orders(cents per

hundred shares)

Received for

Orders(USD)

Marketable Limit

Marketable Limit

Orders(cents per

hundred shares)

Received for Non-

Marketable Limit

Orders(USD)

Marketable Limit

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Other

Orders(USD)

Net Payment Paid/

Orders(USD)

Other Orders(%)

40.00

60.00

0.00

0.00

Received for Market

Net Payment Paid,

Marketable Limit

Received for

Orders(USD)

Received for

Marketable Limit

Orders(cents per

hundred shares)

Net Payment Paid/

Net Payment Paid

Received for

Received for

Marketable Limit

Net Payment Paid/

Received for Non-

Received for Non-

Marketable Limit

let Payment Paid/

Received for Non-

Marketable Limit

Orders(cents per

hundred shares)

Net Payment Paid/

Received for Non-

Marketable Limit

Orders(USD)

G1 Execution Services, LLC (ETMM): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

NASDAQ Execution Services, LLC(NSDQ): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction fees or any transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details. Members Exchange(MEMX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction fees or any transaction fees or any transaction rebates from our clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for additional details. StoneX Financial INC.(INTL): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details. Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details. New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for additional details.

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Instinet BlockCross(BLKX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. May 2024

Option Contracts Summary Non-Marketable Limi Non-Directed Orders Market Orders as % of Total Marketable Limi Orders as % of Non-Orders as % of Non-Other Orders as % of Total Non-Directed Total Market Orders otal Non-Marketable Limit Orders as % of All Orders Non-Directed Orders Directed Orders Directed Orders Total Other Orders Non-Directed Orders

Venue - Non-directed Non-Directed Marketable Limit Non-Marketable Limit Non-Marketable Limit Non-Directed Orders Orders(%) Market Orders Market Orders(%) Marketable Limit Orders(%) Other Orders Order Flow Orders(%) Citadel Securities

LLC(CDRG)	•	796	48.69	380	51.1	4 52	54.74	362	45.59	2	66.67	(0
Dash Financial Technologies																			
LLC(DASH)	:	345	21.10	138	18.5	7 12	12.63	195	24.56	0	0.00	(0
Susquehanna Capital Group(SUSQ)	:	220	13.46	178	23.9	6 22	23.16	19	2.39	1	33.33	() (0
Wolverine Execution Services LLC(WEXX)	:	207	12.66	6	0.8	1	2.11	199	25.06	0	0.00	() () () () (0
Morgan Stanley & Company LLC(MSCO)		67	4.10	41	5.5	2	7.37	19	2.39	0	0.00	()						0
Material Aspects: Citadel Securities LLC Vanderbilt Securities,	,	des to their c	earing firm, NI	FS. Vanderbilt Secu	urities, LLC does not	receive any payment fo	or order flow, payment from any profit-sharing re	lationship, transaction	fees or any transaction	rebates from our clea	nring firm, National Fi	nancial Services. Hov	vever, National Financ	ial Services may rece	ive such payments. Ple	ease refer to their 606 i	report for additional d	etails.	
Susquehanna Capital (Vanderbilt Securities,	2 \ -/	des to their c	earing firm, NI	FS. Vanderbilt Secu	urities, LLC does not	receive any payment for	or order flow, payment from any profit-sharing re	lationship, transaction	fees or any transaction	rebates from our clea	nring firm, National Fi	nancial Services. How	vever, National Financ	ial Services may rece	ive such payments. Ple	ease refer to their 606 i	report for additional d	etails.	

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. Morgan Stanley & Company LLC (MSCO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. June 2024 S&P 500 Stocks on-Marketable Lim Market Orders as % of Total Marketable Lim Orders as % of Non Other Orders as % of **Total Non-Directed** Non-Directed Orders Orders as % of Nonas % of All Orders Total Market Orders Non-Directed Orders Orders Directed Orders otal Non-Marketable Limit Orders **Directed Orders Total Other Orders** Non-Directed Orders 100.00 **Venues** let Payment Paid/ Net Payment Paid/ Net Payment Paid Received for Net Payment Paid/ Received for Non-

Non-Marketable Limit Non-Marketable Limit

Orders(%)

Orders

34.1

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.

VIRTU Americas, 26.23 27.27 29.27 12.95 LLC(NITE) Jane Street Capital, 20.79 LLC(JNST) 18.90 14.63 G1 Execution 17.26 18.67 17.07 Services, LLC(ETMM)

Marketable Limit

Marketable Limit Orders(%)

Orders

31.45

Market Orders(%)

Cboe EDGX US																		
Equities	4.0							22.02						.]				
change(EDGX)	46	2	36	0.	00	0.00	46	23.83	C	0.00	(0))	0	0	0	0
ew York Stock kchange(NYSE)	32	1	64	0 0.	00	0.00	32	16.58		0.00					0	0	0	0
ASDAQ Execution						†												
vices, LLC(NSDQ)	26	1	33	0.	00	0.00	26	13.47	C	0.00	(0))	0	0	0	0
oneX Financial INC. NTL)	15	0	77 1	0.	82	1 2.44	1 0	0.00	C	0.00		0			0	0	0	0
embers change(MEMX)	14	0	72	0 0.	00	0.00	14	7.25	C	0.00	(0 (0 (0	0	
BS Securities, .C(UBSS)	10	0	51 1	1.0 0.	59 (0.00	0	0.00	C	0.00	(0 (0 (0	0	0
aterial Aspects: itadel Securities LLC anderbilt Securities, L	` /	to their clearing fir	m, NFS. Vanderbilt Sec	curities, LLC does no	t receive any payment for	for order flow, payment from any profit-sharing re	elationship, transaction	fees or any transaction	rebates from our clea	ring firm, National Fi	nancial Services. How	wever, National Financ	ial Services may rece	ive such payments. Pl	ease refer to their 606	report for additional d	etails.	
TRTU Americas, LLC anderbilt Securities, L	'	to their clearing fir	m, NFS. Vanderbilt Sec	curities, LLC does no	t receive any payment for	for order flow, payment from any profit-sharing re	elationship, transaction	fees or any transaction	rebates from our clea	ring firm, National Fi	nancial Services. How	wever, National Financ	tial Services may rece	ive such payments. Pl	ease refer to their 606	report for additional d	etails.	
1 Execution Services, anderbilt Securities, L	,	to their clearing fir	m, NFS. Vanderbilt Sec	curities, LLC does no	t receive any payment for	or order flow, payment from any profit-sharing re	elationship, transaction	fees or any transaction	rebates from our clea	ring firm, National Fi	nancial Services. How	wever, National Financ	ial Services may rece	ive such payments. Pl	ease refer to their 606	report for additional d	etails.	
IASDAQ Execution Se	ervices, LLC(NSDQ)):																

Other Orders

13.47

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa New York Stock Exchange(NYSE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa UBS Securities, LLC(UBSS): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details. June 2024 Non-S&P 500 Stocks Other Orders as % of Non-Directed Orders Market Orders as % of Total Marketable Lim Orders as % of Non Orders as % of Non-Total Non-Directed Total Market Orders Total Other Orders as % of All Orders Non-Directed Orders otal Non-Marketable Limit Orders Non-Directed Orders Orders Directed Orders Directed Orders Venues Net Payment Paid/ Net Payment Paid/ Net Payment Paid/ Net Payment Paid Received for Net Payment Paid/ Received for Non-

Non-Marketable Limit Non-Marketable Limit

26.29

Orders(%)

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

23.22 29.05 26.29 LLC(NITE) Jane Street Capital, 468 18.17 11.64 LLC(JNST) 14.23 G1 Execution 17.13 Services, LLC(ETMM) 499 13.40 441 12.93 2.82

Marketable Limit Orders(%)

Marketable Limit

Orders

32.16

Market Orders(%)

Characteristics	20. 1.000, ===(= ,					1					5.55	•	`I		`	~ [~I	~ I	~I	
NSDOQ Securities 1,5 3,3 0 0,0 0 0 0 0 0 0 0	Equities	- 1-																		
Services, LLC(INSD): Services LLC (SITE): Services LLC (SITE):		317	7 8.5	51	0.00	0	0.00	317	35.78	(0.00	C	C			0	0	0	0	0
Section of Memory 10 10 10 10 10 10 10 1		195	5 5.2	23	0.00	0	0.00	195	22.01	(0.00	C	C			0	0	0	0	0
Block Considerable (No. 19 2.12 33 1.28 46 19.33 0 0.00 0 0.00 0 0.00 0		115	5 3.0	09	0 0.00	0	0.00	115	12.98	(0.00	(C) () (0	0	0	0	0
Exchange(NYSE) 62 1.66 0 0.00 0 0.00 0 0.00 0 0 0 0 0 0 0 0		79	9 2.:	12	33 1.28	3 46	19.83	0	0.00	(0.00	(C) (0	0	0	0	0
Material Aspects: Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details. VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details. GI Execution Services, LLC (ETMM):		62	2 1.6	56	0 0.00	0	0.00	62	7.00	(0.00	C	C) (0	0	0	0	0
Citadel Securities LLC (CDRG): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction rebates from our clearing firm, National Financial Services. However, National Financial Services may receive such payments. Please refer to their 606 report for additional details. VIRTU Americas, LLC (NITE): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction rebates from our clearing firm, National Financial Services may receive such payments. Please refer to their 606 report for additional details. G1 Execution Services, LLC (ETMM):		32	2 0.8	36 2	23 0.89	2	0.86	6	0.68	1	. 3.13	C	C) (0	0	0	0	0
/anderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa	Citadel Securities LLC		es to their clearing firm	n, NFS. Vanderbilt Se	curities, LLC does not r	receive any payment fo	or order flow, payment from any profit-sharing rel	lationship, transaction	fees or any transaction	n rebates from our cle	aring firm, National Fi	nancial Services. Hov	vever, National Financ	ial Services may recei	ive such payments. Ple	ease refer to their 606	report for additional d	etails.		
	,	,	es to their clearing firm	n, NFS. Vanderbilt Se	curities, LLC does not r	receive any payment fo	or order flow, payment from any profit-sharing rel	lationship, transaction	fees or any transaction	n rebates from our cle	aring firm, National Fi	nancial Services. Hov	vever, National Financ	ial Services may recei	ive such payments. Ple	ease refer to their 606	report for additional d	etails.		
	G1 Execution Services, LLC (ETMM):																			

Other Orders

JANE STREET CAPITAL, LLC(JNST): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa NASDAQ Execution Services, LLC(NSDQ): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Cboe EDGX US Equities Exchange(EDGX) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa New York Stock Exchange(NYSE) Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Members Exchange(MEMX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa June 2024 **Option Contracts**

Total Other Orders

on-Marketable Lim

Orders as % of Non-

Directed Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for additional details.

Venue - Non-directed Non-Directed Marketable Limit Non-Marketable Limit Non-Marketable Limit Marketable Limit Orders(%) Non-Directed Orders Orders(%) Market Orders Market Orders(%) Orders(%) Other Orders Other Orders(%) Order Flow Orders Citadel Securities 49.84 50.00 LC(CDRG) 51.2 46.4

otal Non-Marketable Limit Orders

larketable Limit

Orders as % of Non-

Directed Orders

Total Marketable Lim

Orders

Market Orders as % of

Non-Directed Orders

Technologies 408 21.41 265 20.23 16 26.67 126 23.68 1 25.00 0 0 0 0 0 0 0 0 0	Dash Financial																	'	1	
Susquehanna Capital Group (SUSQ) 293 15.37 268 20.46 9 15.00 15 2.82 1 25.00 0 0 0 0 0 0 0 0 0	Technologies	408	21 //1	265	20.23	16	26.67	126	73.68	1	25.00	0		0	0	0		,	1	١
Group(SUSQ) 293 15.37 268 20.46 9 15.00 15 2.82 1 25.00 0 0 0 0 0 0 0 0 0		408	21.41	203	20.23	10	20.07	120	23.08		23.00	0	0	0	0	· ·	0	<u> </u>		4
Wolverine Execution Services LLC(WEXX) 143 7.50 8 0.61 3 5.00 132 24.81 0 0.00 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		202	45.05		20.46		45.00	4-5	2.00		25.00							.]	1	
Services LLC(WEXX) 143 7.50 8 0.61 3 5.00 132 24.81 0 0.00 0 0 0 0 0 0 0		293	15.37	268	20.46	9	15.00	15	2.82	1	25.00	0	0	0	0	0	0	1 0,	<u> </u>	J
Morgan Stanley & Company LLC(MSCO) 112 5.88 98 7.48 2 3.33 12 2.26 0 0.00 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0																		'		7
Company LLC(MSCO) 112 5.88 98 7.48 2 3.33 12 2.26 0 0.00 0 0 0 0 0 0 0		143	7.50	8	0.61	3	5.00	132	24.81	0	0.00	0	0	0	0	0	0	0	1	J
Material Aspects: Citadel Securities LLC (CDRG):	Morgan Stanley &																	'		7
Citadel Securities LLC (CDRG):	Company LLC(MSCO)	112	5.88	98	7.48	2	3.33	12	2.26	0	0.00	0	0	0	0	0	0	o '	1	J
	Citadel Securities LLC		s to their clearing firm	, NFS. Vanderbilt Secu	urities, LLC does not re	eceive any payment fo	or order flow, payment from any profit-sharing re	lationship, transaction	fees or any transaction	n rebates from our clea	uring firm, National Fin	nancial Services. How	vever, National Financ	al Services may receiv	ve such payments. Plea	ase refer to their 606 re	eport for additional de	etails.		_

Other Orders as % of

Non-Directed Orders

Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Wolverine Execution Services LLC (WEXX): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Dash Financial Technologies LLC (DASH): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment from any profit-sharing relationship, transaction fees or any transa Morgan Stanley & Company LLC (MSCO): Vanderbilt Securities, LLC routes all trades to their clearing firm, NFS. Vanderbilt Securities, LLC does not receive any payment for order flow, payment for order flow, payment for order flow, payment for order flow, payment for additional details.