



**VANDERBILT
FINANCIAL GROUP**

Customer Identification Program

In accordance with federal law and FINRA regulations, Vanderbilt Securities, LLC (“Vanderbilt”) is required to make a reasonable determination and verification of our client’s profile information. The profile information was obtained from you by your Financial Advisor and recorded onto the new account application. Our firm has contracted with National Financial Services LLC (our clearing firm) to provide client verification services. When your account is opened, National Financial Services LLC obtains a credit report from Equifax and cross checks your name, address, date of birth and social security number to verify the accuracy of the information you provided. Vanderbilt may also obtain a credit report on you. Any information obtained will be kept in the strictest confidence and in accordance with our firm’s privacy policy.

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