



**VANDERBILT  
FINANCIAL GROUP**

## **SEC REQUIRED REPORT ON ROUTING OF CUSTOMER ORDERS**

In compliance with SEC Rule 606 requiring all brokerage firms to make publicly available quarterly reports on the routing of non-directed orders, please access the “SEC Rule 606” section on our website at <https://joinvanderbilt.com/606-rule/> to obtain this information.

In addition, upon your request, Vanderbilt Securities, LLC will provide you with the name of the market center or exchange a specific order was routed to for execution. The specific order must have been processed within the past six (6) months from the date of your request.

Please contact Operations at 631-845-5100 to request the venue information.

Vanderbilt Securities, LLC does not receive compensation for order flow.

**Tel: 631-845-5100 \* Fax: 631-845-4531 \* 125 Froehlich Farm Blvd, Woodbury, NY 11797 \* [www.vanderbiltfinancialgroup.com](http://www.vanderbiltfinancialgroup.com)**

Vanderbilt Financial Group is the marketing name for Vanderbilt Securities, LLC and its affiliates  
Securities offered through Vanderbilt Securities, LLC \* Member: FINRA, SIPC \* Registered with MSRB

Advisory Services offered through Vanderbilt Advisory Services, LLC

Insurance Services offered through Vanderbilt Insurance and other agencies

Clearing Agent: National Financial Services, LLC