



## **Excess SIPC Coverage**

In addition to SIPC protection, National Financial Services LLC provides for brokerage accounts additional “excess of SIPC” coverage from Lloyd’s of London, together with other insurers.\* The excess of SIPC coverage will be used only when SIPC coverage is exhausted. Like SIPC protection, excess of SIPC protection does not cover investment losses in customer accounts due to market fluctuation. It also does not cover other claims for losses incurred while broker/dealers remain in business. Total aggregate excess of SIPC coverage available through National Financial Services LLC’s excess of SIPC policy is \$1 billion. Within National Financial Services LLC’s excess of SIPC coverage, there is no per-account dollar limit on coverage of securities, but there is a per-account limit of \$1.9 million on coverage of cash. This is the maximum excess of SIPC protection currently available in the brokerage industry.

\* Fidelity’s excess of SIPC insurance is provided by Lloyd’s of London, together with AXIS Specialty Europe Ltd. And Munich Re.

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